Horizontal and Vertical Structures of the Northward-Propagating Intraseasonal Oscillation in the South Asian Monsoon Region Simulated by an Intermediate Model

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ABSTRACT

The structures and mechanism of the northward-propagating boreal summer intraseasonal oscillation (BSISO) in the southern Asian monsoon region are simulated and investigated in a three-dimensional intermediate model (3D model). The horizontal structure of the intraseasonal variability in the 3D model depicts the Kelvin–Rossby wave–type disturbance, which may or may not produce the northward-propagating disturbance in the Indian Ocean, depending on the seasonal-mean background winds.

Two experiments are conducted in order to identify what characteristic of seasonal-mean background can induce the northwestward-tilted band in the Kelvin–Rossby wave, whose overall eastward movement gives the impression of the northward propagation at a given longitude. When the prescribed boreal summer mean winds are excluded in the first experiment, the phase difference between the barotropic divergence tendency and convection disappears. Consequently, the Rossby wave–type convection forms a zonally elongated band. As a result, the northward propagation of convection at a given longitude disappears. When the easterly vertical shear is introduced in the second experiment, the horizontal and the vertical structures of BSISO become similar to that of the northward-propagating one. The reoccurrence of the northwestward-directed convective band and the phase difference between the barotropic divergence tendency and the convection suggest that the summer mean zonal winds in the boreal Indian summer monsoon region are a critical condition that causes the horizontal and vertical structures of northward-propagating BSISO in the southern Asian monsoon region.

1. Introduction

One of the unique characteristics of the boreal summer intraseasonal oscillation (BSISO) is the northward propagation of convection over the Indian and western Pacific Ocean from May to October. Over the Indian Ocean, convection develops near the equator and moves northward with a speed of 0.75° latitude per day (Krishnamurti and Subrahmanyam 1982). Due to its possible effect on the active/break phase of the Indian summer monsoon, the mechanism of this northward-propagating convection has been studied extensively. For example, Webster (1983) introduced the role of land surface heat fluxes on the northward propagation of convection, while Goswami and Shukla (1984) and Anderson and Stevens (1987) emphasized the role of moist static stability, controlled by the interaction between convection and radiative relaxation. The common conclusion of the above studies is that thermodynamic interaction in the atmosphere is the main cause of the northward propagation of BSISO.

In other studies, zonally propagating atmospheric waves are suggested to cause the northward propagation of convection. Yasunari (1979) and Lau and Chan (1986) indicated that the northward propagation of convection is linked to the eastward-propagating convection along the equator. Based on a modeling study, Wang and Xie (1997) proposed that the northward propagation of convection is formed by the Rossby wave component of the Kelvin–Rossby wave packet that propagates eastward along the equator. Later, Lawrence and Webster (2002) analyzed the outgoing longwave radiation (OLR) during June through September and argued that the impression of northward movement of convection at a given longitude is a result
of eastward movement of the northwest–southeast-oriented band of convection. The conclusion of their study is that northward propagation of convection is not the result of an independent and unique summer mode but rather is a modified version of the winter intraseasonal oscillation.

Hsu et al. (2004) reported characteristics of northward-propagating BSISO associated with the eastward propagation along the equator. They attributed the northward propagation to the deep convergence extending from the surface to the middle troposphere. Since near-surface convergence appears only after the systems approach the landmass in the north, they suggested the lifting effect of the sloping terrain and the stronger surface friction over the land in South Asia contribute to the near-surface convergence north of the deep convection. They also found that surface sensible heating contributes to the northward propagation, especially in the Arabian Sea. The air–sea interaction on intraseasonal time scales (Kemball-Cook and Wang 2001; Fu et al. 2003) is also suggested as the mechanism of the northward propagation.

When a couple of mechanisms are suggested for the northward propagation, one way to investigate which process is the most essential is to get rid of the suggested specific mechanism from the model and to see if the northward-propagating intraseasonal oscillation can still be simulated. For example, if the northward-propagating intraseasonal oscillation is still simulated in the absence of the air–sea interaction in the model, it implies that the air–sea interaction may not be the critical factor in producing the northward propagation.

Similarly, the zonally averaged model (Drbohlav 2002; Drbohlav and Wang 2005; Wang 2005) is used to specifically exclude the eastward-propagating Kelvin–Rossby wave packet to see how critical this wave packet is in inducing the northward propagation. It turned out that even without the Kelvin–Rossby wave packet, the northward propagation can still be simulated in the model. This implied that the most fundamental mechanism of the northward propagation may not be imbedded in the eastward-moving Rossby–Kelvin wave packet but in the other mechanism of a two-dimensional model (2D model).

From this logic, they analyzed the mechanism of the northward propagation in their simple model. Since their model excluded the eastward-moving Kelvin–Rossby wave packet, their mechanism is introduced as a “wave-independent mechanism.” They conclude that the interaction between convection and the easterly vertical shear of basic zonal flow over the Indian Ocean region can induce the northward-propagating component of BSISO even in the absence of the zonally propagating wave packet. This conclusion is consistent with Jiang et al. (2004), who used a simple theoretical model to show the importance of the easterly vertical shear of boreal summer flow. However, the wave-independent mechanism of the northward propagation is deducted from the simple 2D model; thus, we do not know how this simple mechanism will work in a more complicated environment.

In this study, we used the three-dimensional model (3D model) to examine if the northward-propagating mechanism, suggested in the 2D model, is still effective in causing the northwardward-tilted band in the Kelvin–Rossby wave packet. Since the easterly vertical shear in the mean wind flow is the most essential component in the mechanism of northward-propagating BSISO, two experiments with the different mean flows are conducted. By comparing the horizontal and vertical structures of northward-propagating BSISO among experiments, we attempt to examine whether the easterly vertical shear over the Indian Ocean during boreal summer can effectively modify the horizontal structure of the Kelvin–Rossby wave packet to produce the northwardward tilt in the convective disturbance.

2. The model and basic states

a. The model

The 3D intermediate model used in this study is identical to that developed by Wang and Xie (1997), with
some changes in parameters (Table 1). The model consists of a two-level free atmosphere and one well-mixed boundary layer (Fig. 1). The subscripts 1, 2, and \( m \) are used to indicate the upper (300 hPa), lower (700 hPa), and middle (500 hPa) levels of the atmosphere, respectively, while the subscript \( B \) represents the vertically averaged perturbation in the boundary layer, and the subscript \( e \) represents the perturbation at the top of the boundary layer (Fig. 1). Momentum and continuity equations are applied at the upper and lower level of the free atmosphere, and the thermodynamic and hydrostatic equations are applied at the middle level (500 hPa). Using these subscripts, the 3D perturbation primitive equations in a \( P \) coordinate and equator \( \beta \) plane are written as

\[
\frac{\partial u''_1}{\partial t} = -\frac{\bar{\rho} u''_1}{\bar{\rho}} - \frac{\bar{u}''_1}{\bar{\rho}} - v''_1 \frac{\bar{\rho}}{\bar{\rho}} - \bar{w}''_1 \frac{\bar{\rho}}{\bar{\rho}} - \frac{\omega''_m}{\Delta \rho} \bar{u}''_1 - \frac{\omega''_m}{\Delta \rho} \bar{u}''_1 + \beta v''_1 - \frac{\partial \phi''_1}{\partial x} - \epsilon u''_1 + K \nabla^2 u''_1, \tag{1.1a}
\]

\[
\frac{\partial v''_1}{\partial t} = -\frac{\bar{\rho} v''_1}{\bar{\rho}} - \frac{\bar{u}''_1}{\bar{\rho}} - v''_1 \frac{\bar{\rho}}{\bar{\rho}} - \bar{w}''_1 \frac{\bar{\rho}}{\bar{\rho}} - \frac{\omega''_m}{\Delta \rho} \bar{u}''_1 - \frac{\omega''_m}{\Delta \rho} \bar{u}''_1 + \beta v''_1 - \frac{\partial \phi''_1}{\partial y} - \epsilon v''_1 + K \nabla^2 v''_1, \tag{1.1b}
\]

\[
\frac{\partial u''_2}{\partial t} = -\frac{\bar{\rho} u''_2}{\bar{\rho}} - \frac{\bar{u}''_2}{\bar{\rho}} - v''_2 \frac{\bar{\rho}}{\bar{\rho}} - \bar{w}''_2 \frac{\bar{\rho}}{\bar{\rho}} - \frac{\omega''_m}{\Delta \rho} \bar{u}''_2 - \frac{\omega''_m}{\Delta \rho} \bar{u}''_2 + \beta v''_2 - \frac{\partial \phi''_2}{\partial x} - \epsilon u''_2 + K \nabla^2 u''_2, \tag{1.2a}
\]

\[
\frac{\partial v''_2}{\partial t} = -\frac{\bar{\rho} v''_2}{\bar{\rho}} - \frac{\bar{u}''_2}{\bar{\rho}} - v''_2 \frac{\bar{\rho}}{\bar{\rho}} - \bar{w}''_2 \frac{\bar{\rho}}{\bar{\rho}} - \frac{\omega''_m}{\Delta \rho} \bar{u}''_2 - \frac{\omega''_m}{\Delta \rho} \bar{u}''_2 + \beta v''_2 - \frac{\partial \phi''_2}{\partial y} - \epsilon v''_2 + K \nabla^2 v''_2, \tag{1.2b}
\]

\[
\frac{\partial \phi''_m}{\partial t} = -\frac{RT''_m}{\rho''_m}, \tag{1.2c}
\]

where an overbar (prime) represents a basic-state (perturbation) quantity; \( u, v, \omega, \phi, T, \) and \( Q_m \) denote zonal and meridional winds, vertical pressure velocity, geopotential, temperature, and condensational heating; \( \epsilon \), \( \mu, \) and \( R \) are the Rayleigh friction coefficient, Newtonian cooling coefficient, and specific gas constant; \( K \) is the turbulent horizontal momentum or thermal diffusion coefficient; \( S \) is the dry static stability parameter at the middle of the atmosphere; and \( \Delta \rho \) is the half-depth of the free troposphere.

The governing equations in a steady, well-mixed boundary layer can be described as

\[
\beta v''_m - \frac{\partial \phi''_m}{\partial x} - E_s u''_m = 0, \tag{2a}
\]

\[
\beta u''_m + \frac{\partial \phi''_m}{\partial y} - E_s v''_m = 0, \tag{2b}
\]

\[
\omega''_m = (p_s - p_a) \left( \frac{\partial u''_m}{\partial x} + \frac{\partial v''_m}{\partial y} \right), \tag{2c}
\]

where \( p_s \) and \( p_a \) are the pressure at the model surface and top of the boundary layer; \( \phi'' \) denotes perturbation geopotential at \( p_s \); \( u''_m \) and \( v''_m \) are the perturbation zonal and meridional winds in the boundary layer; and \( E_s \) and \( E_v \) are Rayleigh friction coefficients in the zonal and meridional directions. From (2), the Ekman pumping velocity can be written as

\[
\omega''_m = \frac{\partial^2 \phi''_m}{\partial x^2} + D_2 \frac{\partial \phi''_m}{\partial x} + D_3 \frac{\partial^2 \phi''_m}{\partial y^2} + D_4 \frac{\partial^2 \phi''_m}{\partial y^2}, \tag{3}
\]

where the coefficients are

\[
D_1 = -\frac{(p_s - p_a)E_v}{E_s E_v + \beta^2 y^2},
\]

\[
D_2 = \frac{(p_s - p_a)\beta}{E_s E_v + \beta^2 y^2},
\]

\[
D_3 = \frac{(p_s - p_a)E_s}{E_s E_v + \beta^2 y^2},
\]

\[
D_4 = \frac{2(p_s - p_a)E_v \beta^2 y}{(E_s E_v + \beta^2 y^2)^2}.
\]
condensational heating; \( b \) measures the ratio of the amount of water vapor that condenses out to the total moisture convergence in the same air column; and \( L_\text{c} \) is the latent heat.

The meridional domain of the model is confined to an equatorial \( \beta \) plane between 40°S and 40°N. At the meridional boundaries, the perturbation fluxes of mass, momentum, and heat normal to the boundaries vanish. In analyzing the mechanism of the northward propagation, the barotropic mode (\( A^+ \)) and baroclinic mode (\( A^- \)) of an arbitrary variable (\( A \)) are defined as:

\[
A^+ = \frac{A_1 + A_2}{2} \quad \text{and} \quad A^- = \frac{A_1 - A_2}{2}.
\]

b. Design of experiments

Two experiments are conducted using the different basic states from the control run. The prescribed basic state of the control run represents the mean climate state of boreal summer. The free-atmospheric moisture content (specific humidity, Fig. 2a), winds (Figs. 2b,c), and temperature (figure not shown) are calculated by averaging June–August values from the 40-yr European Centre for Medium-Range Weather Forecasts (ECMWF) Re-Analysis (ERA-40) data for the period 1979–92. In addition, the surface moisture availability is already considered in specific humidity (Fig. 2a), following the criteria by Fu and Wang (1999). The distinct features of a June–August mean state (summer mean state hereafter) are the maximum of specific humidity in the northern Indian Ocean from 10° to 25°N (Fig. 2a) and the easterly vertical shear (easterly increases with height) over the Indian region (60°–120°E) from the equator to 20°N (Fig. 2d).

In the first experiment (experiment 1), the BSISO is simulated with no basic-state atmospheric circulation, while the thermodynamic basic-state variables, such as specific humidity content and temperature, are kept unchanged (8a). Since the exclusion of basic-state winds is the only difference between control run and experiment 1, the BSISO in experiment 1 represents the oscillation caused by the atmospheric wave under the absence of the summer mean easterly shear. In the second experiment (experiment 2), zonally uniform summer mean zonal winds are included ([8b]; Figs. 3a,b), while the summer mean of the meridional and vertical winds is still excluded. Zonally uniform summer mean zonal winds are obtained by averaging zonal flows over the longitudes from 60° to 100°E. Compared to experiment 1, the effect of meridional and vertical variations of summer mean zonal winds over the Indian Ocean region are added in experiment 2. That is,
While the results of experiment 1 highlight the role of pure atmospheric waves on the BSISO, the intraseasonal oscillation simulated from experiment 2 represents a result of the added effect of vertical shear on the atmospheric waves. Specifically, the comparison between experiment 1 and experiment 2 will emphasize how important the summer mean zonal winds are in modifying the classical Kelvin–Rossby wave–type BSISO. In the following sections, responses of BSISO to these two experiments and control run are compared in terms of spatial structures, northward-propagating patterns, and the phase difference between the barotropic divergence and the convection.

3. Results of the control run and experiments

a. Dissipation of BSISO

Although the spatial evolution of BSISO is repeated in the numerical simulation, the intensity of BSISO decreases throughout the simulation. The time series of the spatial maximum of precipitation rate (Fig. 3c) is used to indicate the dissipating rate of BSISO. The spatial maximum of precipitation rate (Pr._SMAX hereafter) at each time step can be defined by the maximum value of precipitation within a domain from 40°S to 40°N, 0° to 360°E. In general, the intensity of anomalies decreases after each life cycle, while the spatial and vertical structures of BSISO are preserved. These preserved structures of BSISO can be extracted by dividing variables (e.g., precipitation rate) with their spatial
maximum values $\text{Pr}_{\text{S MAX}}$. Since each anomaly is divided by the spatial maximum value, the result ranges from 0 to 1 at each time step. This procedure allows us to concentrate on the spatial structure of BSISO.

b. Spatial structure of BSISO in control run and experiments 1 and 2

To concentrate on the spatial and vertical structures of BSISO, variables such as precipitation, zonal wind, and meridional wind anomalies are divided by their spatial maximum values (Figs. 3c-e) and presented as “dimensionless” form. In the control run, the precipitation cell located at the equator in day 1 (Fig. 4a) moves eastward and reaches 120°E on day 5 (Fig. 4c). Meanwhile, the off-equatorial convective cell is found between 60° and 120°E. During the formation of this diagonal-shaped band from day 5 to day 9, convection gives an impression of northward propagation, if observed at a fixed longitude (Fig. 5a).

When summer mean flows are excluded in experiment 1, the off-equatorial convection develops both in the Northern and Southern Hemispheres when an eastward-moving Kelvin-type disturbance passes maritime continents near 120°E (Fig. 4h); however, this off-equatorial convection is elongated in the zonal rather than the diagonal direction (Figs. 4h–j). Thus, the northward-propagating impression is not shown in Fig. 5b, even when the precipitation of experiment 1 is zonally averaged to emphasize the latitudinal variation.

In experiment 2, where zonally uniform summer...
mean zonal flows (Figs. 3a,b) are added, convection is organized into the diagonal band and extended from the equator to the northern Indian Ocean on day 5 (Fig. 4m). In addition, the off-equatorial convection is still associated with the equatorial convection as seen on day 9 (Fig. 4o). Since the entire domain (40°S–40°N, 0°–360°) in experiment 2 is replaced by the zonally averaged summer mean zonal flows of the Indian Ocean region (60°–100°E), the effect of zonal winds is no longer confined within the Indian Ocean region. Consequently, the intensification of the off-equatorial disturbance is also found outside of the Indian Ocean region in experiment 2 (Fig. 4, right panels).

c. Northward propagation of BSISO

In Fig. 5, the dimensionless precipitation rates of the control run, experiment 1, and experiment 2 are zonally averaged over 60° and 100°E. It is evident that the northward propagation of convection from 0° to 25°N is most evident in the control run (Fig. 5a) and least pronounced in experiment 1 (Fig. 5b). The reappearance of the northward propagation in experiment 2 implies the importance of the easterly vertical shear in the seasonal-mean zonal winds. This result is consistent with the mechanism suggested by Drbohlav and Wang (2005). They argued that when the anomalous convection develops under the characteristics of easterly vertical shear in the summer mean background winds, the anomalous rising motion at the center of convection advects these summer mean background winds vertically, inducing the barotropic westerly anomalies at the center of the convection. The Coriolis effect on these enhanced westerly flows generates the barotropic divergence (equivalent to the tropospheric divergence), which results in the boundary layer moisture convergence north of precipitation.

In Fig. 5, the barotropic (tropospheric) divergence tendency is represented in terms of dimensionless barotropic moisture-divergence tendency \((\partial\theta/\partial t)((\partial u/\partial y))^+ \frac{(2\theta_f - \theta_2)}{}\) This barotropic moisture-divergence ten-
dency includes the effect of the summer mean moisture content, so that it can be related to the tendency of the precipitation. That is,

\[
\frac{\partial}{\partial t} \frac{\partial \bar{\nu}'}{\partial y} \frac{\partial}{\partial y} (2\bar{q}_e - \bar{q}_t) \text{ and prec. rate}
\]

Thus, the area of positive contours in the control run (Fig. 5a), experiment 1 (Fig. 5b), and experiment 2 (Fig. 5c) indicates the region where the increase of the tropospheric divergence induces the boundary moisture convergence, resulting in precipitation. In the control run (Fig. 5a), the positive barotropic moisture-divergence tendency (positive contour) is located north of the precipitation (shade) from 10° to 20°N. This phase difference between barotropic divergence and convection confirms that the northward-propagating mechanism of 2D model works effectively in the presence of the Kelvin–Rossby wave packet.

In the absence of summer mean winds in experiment 1, convection at the equator does not propagate either north or south (Fig. 5b). The phase between barotropic divergence and convection is also different (Fig. 5b) from the control run. The northward-propagating component of the BSISO reoccurs in experiment 2 (Fig. 5c), as implied in the diagonally elongated structure of the convective band (Fig. 4, right panels). The similarity between the control run (Fig. 5a) and experiment 2 (Fig. 5c) in the phase relationship between barotropic divergence and convection further suggests that there is a consistent barotropic structure, which is connected to
the northward propagation of BSISO. The favorable condition for this structure seems to be imbedded in meridional and vertical structures of summer mean zonal winds over the Indian Ocean region.

4. Summary

This work is an extension of the two-dimensional model study by Drbohlav and Wang (2005). Using a two-dimensional intermediate atmospheric model (2D model), they examined the mechanism of the northward-propagating boreal summer intraseasonal oscillation (BSISO). Since the 2D model is zonally symmetric, the zonally propagating Kelvin–Rossby wave packet is excluded in the previous study. Thus, the mechanism found in the 2D model was independent of the Kelvin–Rossby wave packet (wave-independent mechanism hereafter). In this study, the three-dimensional intermediate atmospheric model (3D model) is used in simulating the northward-propagating BSISO, and the relevance of the wave-independent mechanism is reexamined in the 3D model.

One way of examining is to see if the essential components of the wave-independent barotropic mechanism still exist in the BSISO of the 3D model. The essential component is the phase relationship between the convection and the barotropic divergence tendency. Since the barotropic divergence tendency triggers the convergence in the boundary layer, the northward-positioned barotropic divergence tendency with respect to the existing convection is essential for the northward propagation of the convection. Since the easterly vertical shear in the summer mean flow is suggested to be the cause of this phase relationship between the barotropic divergence and convection in the 2D model, two experiments are conducted in order to examine whether the phase relationship between the barotropic divergence and convection still resides in the Kelvin–Rossby wave packet; and if so, the cause of this phase relationship is indeed the easterly vertical shear in the summer mean winds.

In the first experiment, all the basic-state winds are excluded. As a response, the phase relationship between the barotropic divergence and convection disappears, and the Kelvin–Rossby wave-type convection produces the zonally elongated band of convection rather than the northwestward-tilted one. When the meridional and vertical variations of summer mean zonal winds are included in the second experiment, horizontal and vertical structures of northward-propagating BSISO reoccurs. These results imply that the uniqueness in the horizontal and vertical structures of the Kelvin–Rossby wave packet in the Asian summer monsoon region comes from the meridional and vertical structures of summer mean zonal winds, especially over the Indian Ocean region. Without these structures in the basic-state zonal winds over the Indian Ocean region, the Kelvin–Rossby wave packet does not produce the northward propagation of convection. This implies that the northward-propagating BSISO can be understood as an independent feature of the Indian Ocean region.

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